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16545 U.S. P.

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new non-provisional applications under 37CFR§1.53(b))

Attorney Docket No.	BWD:7146.063
First Inventor or Application Identifier	FLORENCE, James M.
Title	PROJECTION DISPLAY SYSTEMS FOR <del>SELECTIVE</del> LIGHT VALVES
Express Mail Label No.	EL472157295US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

1. ☒ \*Fee Transmittal Form (e.g. PTO/SB/17)  
(Submit an original and a duplicate for fee processing)

2. ☒ Specification Total Pages 22  
(preferred arrangement set forth below)
- Descriptive Title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Federally Sponsored Research
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure

3. ☒ Drawing(s) (35 USC 113) Total Pages 7

4. Oath or Declaration Total Pages 2
- a. ☒ Newly executed (original or copy) (unsigned)
- b. ☐ Copy from a prior application (37 CFR §1.63(d))  
(for continuation/divisional with Box 16 completed)
- i. ☐ Deletion of Inventor(s)  
Signed statement attached deleting inventor(s)  
named in the prior application,  
see 37 CFR §§1.53(d)(2) and 1.33(b)

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer readable copy
- b. ☐ Paper copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 CFR §3.73(b) Statement ☐ Power of Attorney  
when there is an assignee
9. ☐ English translation document (if applicable)
10. ☒ Information Disclosure ☒ Copies of IDS Citations  
Statement (IDS) /PTO 1449
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)  
(should be specifically itemized)
13. ☐ \*Small Entity ☐ Statement filed in prior applica-  
Statements tion. Status still proper and desired.  
(PTO/sb/09-12)
14. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
15. ☒ Other - Check for \$1,104


\* Note for Items 1 & 13: In order to be entitled to pay small entity fees, a small entity statement is required (37 CFR §1.27), except if one filed in a prior application is relied upon (37 CFR §1.28)

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_  
Prior application information: Examiner \_\_\_\_\_ Group No./Art Unit \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label		(Insert customer number or attach bar code label here)		or <input checked="" type="checkbox"/> Correspondence address below	
Name	Bruce W. DeKock				
Address	1600 ODS Tower, 601 S.W. Second Avenue				
City	Portland	State	Oregon	Zip Code	97204
Country	USA	Telephone	(503) 227-5631	FAX	(503) 228-4373
Name (print type)	Bruce W. DeKock	Registration No. (Attorney/Agent)	40,585		
Signature			Date	March 31, 2000	

U.S. PTO 09/539918

03/31/00

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# FEE TRANSMITTAL for FY 2000

*Patent fees are subject to annual revision.  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
See 37 C.F.R. §§ 1.27 and 1.28.*

*Complete If Known*

Application Number	
Filing Date	March 31, 2000
First Named Inventor	FLORENCE, James M.
Examiner Name	
Group/ Art Unit	
Attorney Docket No.	BWD:7146.063

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)																																																																																																																												
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge the indicated fees and credit any over payments to:</p> <p>Deposit Account Number <span style="border: 1px solid black; padding: 2px;">03-1550</span></p> <p>Deposit Account Name <span style="border: 1px solid black; padding: 2px;">Chernoff Vilhauer McClung &amp; Stenzel</span></p> <p><input checked="" type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 &amp; 1.17</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed</p> <p><input checked="" type="checkbox"/> Check      <input type="checkbox"/> Money Order      <input type="checkbox"/> Other</p>	<p><b>3. ADDITIONAL FEES</b></p> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Large Entity</th> <th style="text-align: left;">Small Entity</th> <th style="text-align: left;">Fee Description</th> <th style="text-align: right;">Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr> <td>105</td> <td>130</td> <td>205 65 Surcharge - late filing fee or oath</td> <td></td> </tr> <tr> <td>127</td> <td>50</td> <td>227 25 Surcharge-late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>139</td> <td>130</td> <td>139 130 Non-English specification</td> <td></td> </tr> <tr> <td>147</td> <td>2,520</td> <td>147 2,520 For filing a request for reexamination</td> <td></td> </tr> <tr> <td>112</td> <td>920*</td> <td>112 920* Requesting publication of SIR prior to Examiner action</td> <td></td> </tr> <tr> <td>113</td> <td>1840*</td> <td>113 1840* Requesting publication of SIR after Examiner action</td> <td></td> </tr> <tr> <td>115</td> <td>110</td> <td>215 55 Extension for reply within first month</td> <td></td> </tr> <tr> <td>116</td> <td>380</td> <td>216 190 Extension for reply within second month</td> <td></td> </tr> <tr> <td>117</td> <td>870</td> <td>217 435 Extension for reply within third month</td> <td></td> </tr> <tr> <td>118</td> <td>1,360</td> <td>218 680 Extension for reply within fourth month</td> <td></td> </tr> <tr> <td>128</td> <td>1,850</td> <td>228 925 Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>119</td> <td>300</td> <td>219 150 Notice of Appeal</td> <td></td> </tr> <tr> <td>120</td> <td>300</td> <td>220 150 Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>121</td> <td>260</td> <td>221 130 Request for oral hearing</td> <td></td> </tr> <tr> <td>138</td> <td>1,510</td> <td>138 1,510 Petition to institute a public use proceeding</td> <td></td> </tr> <tr> <td>140</td> <td>110</td> <td>240 55 Petition to revive - unavoidable</td> <td></td> </tr> <tr> <td>141</td> <td>1,210</td> <td>241 605 Petition to revive - unintentional</td> <td></td> </tr> <tr> <td>142</td> <td>1,210</td> <td>242 605 Utility issue fee (or reissue)</td> <td></td> </tr> <tr> <td>143</td> <td>430</td> <td>243 215 Design issue fee</td> <td></td> </tr> <tr> <td>144</td> <td>580</td> <td>244 290 Plant issue fee</td> <td></td> </tr> <tr> <td>122</td> <td>130</td> <td>122 130 Petitions to the Commissioner</td> <td></td> </tr> <tr> <td>123</td> <td>50</td> <td>123 50 Petitions related to provisional applications</td> <td></td> </tr> <tr> <td>126</td> <td>240</td> <td>126 240 Submission of Information Disclosure Statement</td> <td></td> </tr> <tr> <td>561</td> <td>40</td> <td>581 40 Recording each patent assignment per property (times number of properties)</td> <td></td> </tr> <tr> <td>146</td> <td>690</td> <td>246 345 Filing a submission after final rejection (37 C.F.R. 1.129(a))</td> <td></td> </tr> <tr> <td>149</td> <td>690</td> <td>249 345 For each additional invention to be examined (37 C.F.R. 1.129(b))</td> <td></td> </tr> <tr> <td colspan="3">Other (specify)</td> <td></td> </tr> <tr> <td colspan="3">Other (specify)</td> <td></td> </tr> <tr> <td colspan="3">* Reduced by Basic Filing Fee Paid</td> <td></td> </tr> </tbody> </table>	Large Entity	Small Entity	Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	105	130	205 65 Surcharge - late filing fee or oath		127	50	227 25 Surcharge-late provisional filing fee or cover sheet		139	130	139 130 Non-English specification		147	2,520	147 2,520 For filing a request for reexamination		112	920*	112 920* Requesting publication of SIR prior to Examiner action		113	1840*	113 1840* Requesting publication of SIR after Examiner action		115	110	215 55 Extension for reply within first month		116	380	216 190 Extension for reply within second month		117	870	217 435 Extension for reply within third month		118	1,360	218 680 Extension for reply within fourth month		128	1,850	228 925 Extension for reply within fifth month		119	300	219 150 Notice of Appeal		120	300	220 150 Filing a brief in support of an appeal		121	260	221 130 Request for oral hearing		138	1,510	138 1,510 Petition to institute a public use proceeding		140	110	240 55 Petition to revive - unavoidable		141	1,210	241 605 Petition to revive - unintentional		142	1,210	242 605 Utility issue fee (or reissue)		143	430	243 215 Design issue fee		144	580	244 290 Plant issue fee		122	130	122 130 Petitions to the Commissioner		123	50	123 50 Petitions related to provisional applications		126	240	126 240 Submission of Information Disclosure Statement		561	40	581 40 Recording each patent assignment per property (times number of properties)		146	690	246 345 Filing a submission after final rejection (37 C.F.R. 1.129(a))		149	690	249 345 For each additional invention to be examined (37 C.F.R. 1.129(b))		Other (specify)				Other (specify)				* Reduced by Basic Filing Fee Paid			
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SUBMITTED BY		Complete (if applicable)	
Name (print type)	Bruce W. DeKock	Registration No.	40,585
Signature		Telephone	(503) 227-5631
		Date	March 31, 2000

**CERTIFICATE OF MAILING**

**BY "EXPRESS MAIL"**

Express Mail No. EL472157295US

Date of Deposit: March 31, 2000

I hereby certify that the utility patent application attached hereto entitled PROJECTION DISPLAY SYSTEMS FOR ~~REFLECTIVE~~ LIGHT VALVES totaling 22 pages, together with seven (7) sheets of formal drawings, a Declaration (unsigned), a utility patent application transmittal form, a fee transmittal form (in duplicate), IDS with form 1449, a check for \$1,104, this Certificate of Mailing by Express Mail, and a return, acknowledgment postcard is being deposited with the United States Postal Service "Express Mail to Addressee" service on the date indicated above and is addressed to Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

  
DWIGHT BERGQUIST-MOODY

007220-8766550